

Miami 2008

HD* field theory as the Theory of Everything

based on:

A.S. hep-th/0407231, hep-th/0503213, hep-th/0509022,
hep-th/0602201; hep-th/0606139 ;
E.Ivanov + A.S. + B. Zupnik, hep-th/0505082;
E.Ivanov + A.S., hep-th/0510273;
D.Robert + A.S., math-ph/0611023

*) $(HD)_1$ = Higher Dimensional

$(HD)_2$ = Higher Derivative

$(HD)_3$ = Highly Desirable

MOTIVATION

1. Quantum gravity = ?

- problems with unitarity and causality
 - they stem from the geometric nature of GR
- absence of universal time
- paradoxes also at the classical level - singularities, time machines

String theory ?

- no nonperturbative formulation...

THESAURUS of A.S. in Th. Phys.

1. Second Newton's law
2. Schrödinger equation

Central dogma:

TOE is a field theory in *flat* space-time

- Our Universe = curved 3-brane in flat HD bulk
- gravity is induced

SOAP FILM

$$E = \sigma \mathcal{A} = \sigma \int d^2\xi \sqrt{g}$$

- FSS (Fundamental Theory of Soap) is formulated in *flat* 3D space

Theory of the bulk = ?

$$S \sim \frac{1}{\kappa^2} \int d^6 x \text{Tr}\{F_{\mu\nu}^2\}$$

involves dimensional coupling and
is not renormalizable

We should add more derivatives

$$S \sim \frac{1}{g^2} \int d^6 x \text{Tr}\{(\nabla_\mu F_{\mu\nu})^2\}$$

DANGER: the ghosts

- **GHOSTS** = instability (rather *absence*) of vacuum
- inherent for higher-derivative theories.

Conventional system

$$E = \frac{\dot{q}^2}{2} + V(q)$$

can have a classical and/or quantum bottom

- Let

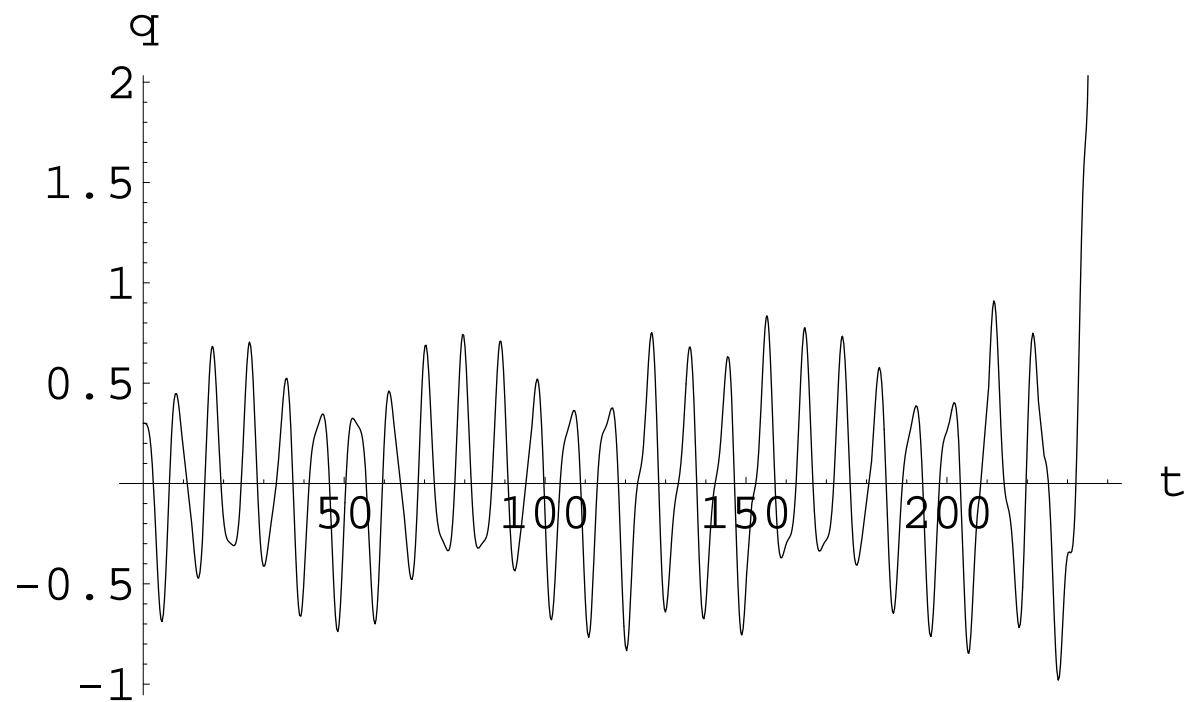
$$L = \frac{1}{2}(\ddot{q} + \Omega^2 q)^2 - \frac{\alpha}{4}q^4 .$$

Then

$$E = \ddot{q}(\ddot{q} + \Omega^2 q) - \dot{q}(q^{(3)} + \Omega^2 \dot{q}) - \frac{1}{2}(\ddot{q} + \Omega^2 q)^2 + \frac{\alpha}{4}q^4$$

can be as negative as one wishes.

In this case, classical vacuum $q(0) = \dot{q} = \ddot{q} = \dot{\dot{q}} = 0$ is **stable** with respect to small perturbations.



- The absence of classical bottom does **not** imply the absence of the quantum bottom.

- Coulomb potential

vs.

- $V(r) = -\gamma/r^2$ with $\gamma < 1/4$.

QUANTUM PAIS-UHLENBECK OSCILLATOR

FREE SYSTEM

$$L = \frac{1}{2} [\dot{q}^2 - (\Omega_1^2 + \Omega_2^2)q^2 + \Omega_1^2\Omega_2^2q^2], \quad \Omega_1 \neq \Omega_2.$$

- Ostrogradsky Hamiltonian

$$H = p_q x + \frac{p_x^2}{2} + \frac{(\Omega_1^2 + \Omega_2^2)x^2}{2} - \frac{\Omega_1^2\Omega_2^2q^2}{2}.$$

- Variable change [[Mannheim + Davidson, 04](#)]

$$q = \frac{a_1}{\sqrt{2\Omega_1(\Omega_1^2 - \Omega_2^2)}} + \frac{a_2}{\sqrt{2\Omega_2(\Omega_1^2 - \Omega_2^2)}} + \text{h.c.} ,$$

$$x = -\frac{i\Omega_1 a_1}{\sqrt{2\Omega_1(\Omega_1^2 - \Omega_2^2)}} + \frac{i\Omega_2 a_2}{\sqrt{2\Omega_2(\Omega_1^2 - \Omega_2^2)}} + \text{h.c.} ,$$

$$p_x = -\frac{\Omega_1^2 a_1}{\sqrt{2\Omega_1(\Omega_1^2 - \Omega_2^2)}} - \frac{\Omega_2^2 a_2}{\sqrt{2\Omega_2(\Omega_1^2 - \Omega_2^2)}} + \text{h.c.} ,$$

$$p_q = \frac{i\Omega_1 \Omega_2^2 a_1}{\sqrt{2\Omega_1(\Omega_1^2 - \Omega_2^2)}} - \frac{i\Omega_2 \Omega_1^2 a_2}{\sqrt{2\Omega_2(\Omega_1^2 - \Omega_2^2)}} + \text{h.c.} .$$

gives

$$H = \Omega_1 a_1^* a_1 - \Omega_2 a_2^* a_2 .$$

with the spectrum

$$E_{nm} = \left(n + \frac{1}{2}\right) \Omega_1 - \left(m + \frac{1}{2}\right) \Omega_2$$

- positive and negative energies
 - dense everywhere if Ω_1/Ω_2 is irrational
 - Hamiltonian is Hermitian
 - unitary evolution operator exists at all times
- (no collapse)

The limit $\Omega_1 \rightarrow \Omega_2$ is singular

- “Jordanization” of Hamiltonian

$$H \rightarrow \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

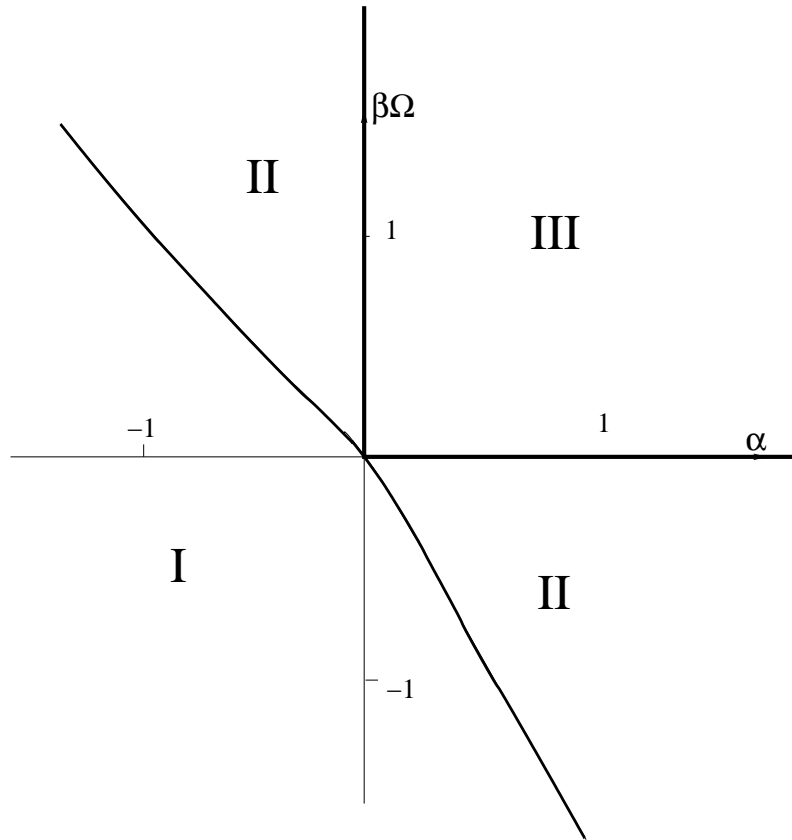
The spectrum: $E_n = n\Omega$ (positive and negative n). Only **one** eigenstate at each level.

INTERACTING SYSTEM

$$L = \frac{1}{2}(\ddot{q} + \Omega^2 q)^2 - \frac{\alpha}{4}q^4 - \frac{\beta}{2}q^2\dot{q}^2 .$$

- For $\alpha > 0, \beta \geq 0$ or $\alpha \geq 0, \beta > 0$, the spectrum has a bottom !

$$E_0^{\text{var}} \approx -\frac{\Omega^4}{\alpha} \quad (\beta = 0) .$$



Ghost and no-ghost regions. **I** — perturbative instability. **II** — falling to the centre. **III** - Nice Hermitian Hamiltonian endowed with a bottom.

SUPERSYMMETRY vs GHOSTS

- for usual SUSY systems

$$\{\bar{Q}, Q\} = 2H; \quad Q^2 = \bar{Q}^2 = 0$$

implies $E_n \geq 0$ if \bar{Q} is adjoint to Q .

MODEL

$$L = \frac{\sigma}{2} \left(\dot{A}_K^2 + \dot{\sigma}^2 + i\psi^{\dagger j} \dot{\psi}_j \right) - \frac{i}{4} \psi^{\dagger j} \gamma_K \psi_j \dot{A}_K + \frac{1}{16\sigma} \left(\psi^{\dagger j} \gamma_0 \psi^k \right) \left(\psi_j^{\dagger} \gamma_0 \psi_k \right) ,$$

$$K = 1, 2, 3, 4, \quad j, k = 1, 2; \quad \psi^k = \epsilon^{kj} \psi_j.$$

- is obtained by dimensional reduction of 5D superconformal theory.

- only $\sigma \geq 0$ is allowed.
- singularity at $\sigma = 0$.

- **classical solution:** $\sigma = [A + Bt]^{2/3}$; “falls” into the singularity when $B < 0$.

QUANTUM SPECTRUM

- Let $F = 0$.
- Continuous spectrum. The **eigenfunctions**:

$$\Psi(\sigma, A_M) = g(\sigma) e^{ik_M A_M}$$

with $g(\sigma)$ satisfying

$$-\frac{1}{\sqrt{\sigma}} \left[\frac{\partial^2}{\partial \sigma^2} - k_M^2 \right] \frac{g(\sigma)}{\sqrt{\sigma}} = 2\lambda g(\sigma) .$$

- like for the Schrödinger problem with linear potential
 - spectral parameter is the *slope* of the potential.

Let $K_M = 0$.

- positive λ solutions

$$g(\sigma) = \sigma \left[AJ_{1/3} \left(\frac{2\sqrt{2\lambda}}{3} \sigma^{3/2} \right) + BJ_{-1/3} \left(\frac{2\sqrt{2\lambda}}{3} \sigma^{3/2} \right) \right]$$

- negative λ solutions

$$g(\sigma) \sim \sigma K_{1/3} \left(\frac{2\sqrt{-2\lambda}}{3} \sigma^{3/2} \right) \quad (*)$$

- normalizable at $\sigma = 0$. Look benign

Contradiction with supersymmetry ?

- superpartners of (*) are **malignant** (not normalized)!
- to keep SUSY, (*) should be thrown away
(Shifman + A.S. + Vainshtein, 1988)
- **complete supermultiplets** ($2^4 = 16$ states)
have only positive energies
- physically relevant operators should keep the system in reduced Hilbert space (no ghost creation)
 - **conjecture** : this mechanism works also in field theory

SUPERCONFORMAL 5D SYM

$$g^2 \mathcal{L} = -\frac{\sigma}{4} F_{\mu\nu} F_{\mu\nu} + \frac{\sigma}{2} (\partial_\alpha \sigma)^2 + \frac{i\sigma}{2} \bar{\psi}^j \not{\partial} \psi_j - \sigma D^{jk} D_{jk} \\ + \frac{i}{8} \bar{\psi}^j \tilde{\sigma}_{\mu\nu} F_{\mu\nu} \psi_j + \frac{1}{24} \epsilon_{\mu\nu\lambda\rho\sigma} A_\mu F_{\nu\lambda} F_{\rho\sigma} + \frac{1}{2} \bar{\psi}^j \psi^k D_{jk}$$

- Vacuum valley for $\sigma \geq 0$.
- If $\langle \sigma \rangle = m \neq 0$, nonrenormalizable.

$$\langle \sigma \rangle_{1 \text{ loop}} = m + \frac{\Lambda^3}{m^2}$$

- If $\langle \sigma \rangle = 0$, no quadratic part;
no perturbation theory...

HD SQM model

$$S = \int dt d\bar{\theta} d\theta \left[\frac{i}{2} (\bar{D}X) \frac{d}{dt} (DX) + V(X) \right]$$

- component Hamiltonian

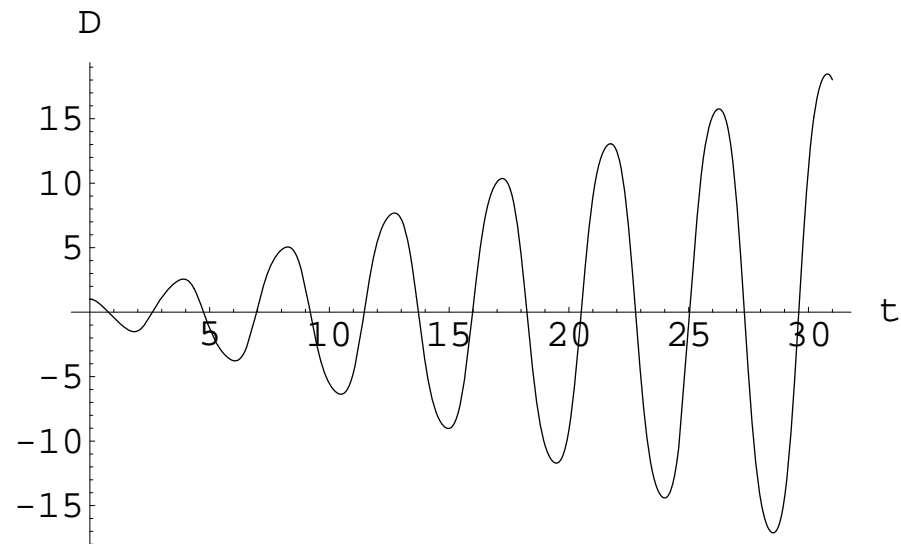
$$H = pP - DV'(x) + \bar{\psi}\bar{\chi} - V''(x)\chi\psi$$

- $N = P^2/2 - V(x)$ is an integral of motion \rightarrow
exactly soluble

- for $V(x) = -\lambda X^4/4$

$$D(t) = A \operatorname{sn} \left[\Omega t, \sqrt{1/2} \right] \operatorname{dn} \left[\Omega t, \sqrt{1/2} \right] + B \left\{ \operatorname{cn} \left[\Omega t, \sqrt{1/2} \right] - \Omega t \operatorname{sn} \left[\Omega t, \sqrt{1/2} \right] \operatorname{dn} \left[\Omega t, \sqrt{1/2} \right] \right\},$$

with $\Omega^4 = 4\lambda N$.



- exactly soluble, but **no** toric orbits !
- no collapse \rightarrow evolution operator is **unitary** !

Quantum time evolution

(bosonic sector)

- choose mixed representation $\Psi(x, P)$
- Schrödinger equation

$$\frac{\partial \Psi}{\partial t} + P \frac{\partial \Psi}{\partial x} + V'(x) \frac{\partial \Psi}{\partial P} = 0$$

- solution

$$\Psi_t(x, P) = \Psi_0(\Gamma^{-t}(x, P))$$

- full Hamiltonian is not Hermitian
- Nöther supercharges

$$Q = \psi[p + iV'(x)] - \bar{\chi}(P - iD)$$

$$\bar{Q} = \bar{\psi}(P + iD) - \chi[p - iV'(x)]$$

are not conjugate to each other.

- An extra pair of supercharges

$$T = \psi[p - iV'(x)] + \bar{\chi}(P + iD)$$

$$\bar{T} = \bar{\psi}(P - iD) + \chi[p + iV'(x)]$$

Full algebra

$$\{\bar{Q}, Q\} = \{\bar{T}, T\} = 2H;$$

$$[\bar{Q}, F] = \bar{Q}, [Q, F] = -Q, [T, F] = -T, [\bar{T}, F] = \bar{T};$$

$$[Q, N] = [T, N] = \frac{Q - T}{2}, -[\bar{Q}, N] = [\bar{T}, N] = \frac{\bar{Q} + \bar{T}}{2}$$

with $F = \psi\bar{\psi} - \chi\bar{\chi}$ = fermion charge.

Spectrum (for $V(X) = -\omega^2 X^2/2 - \lambda X^4/4$) :
 $(-\infty, -\omega] \cup \{0\} \cup [\omega, \infty)$

• No contradiction with supersymmetry as \bar{Q} is not conjugate to Q :

• Supersymmetric quartets

• in spite of non-Hermiticity of Hamiltonian, the spectrum is **real**.

• belongs to the class of quasi-Hermitian, alias crypto-Hermitian systems. (**ask Carl Bender**)

- Conventional $6D$ superspace:

$$z = (x^M, \theta_i^a) \quad (M = 0, \dots, 5, a = 1, \dots, 4, i = 1, 2)$$

with pseudoreality constraint

$$\theta_i^a = -\epsilon_{ij} C^{ab} (\theta_j^b)^*$$

- complex conjugated spinor $(0, 1)$ is **not** $(1, 0)$.
Specific for $SO(5, 1)$.

6D Harmonic superspace

- Introduce coset $CP^1 = SU(2)/U(1)$ with coordinates $u^{\pm i}$ ($u^{-i} = (u^{+i})^*$, $u^{+i}u_i^- = 1$).
- Introduce $\theta^{\pm a} = u_k^{\pm} \theta^{ak}$
- **Grassmann-analytic** superfields depend only on

$$(\zeta, u) = (x_A^M, \theta^{+a}, u^{\pm i}), \quad x_A^M = x^M + i\theta^{+a} \gamma_{ab}^M \theta^{-b}$$

- only 16 components in θ expansion. But infinite number of components in harmonic expansion over u^{\pm} .
- Basic gauge superfield $V^{++}(\zeta, u)$.
- gauge freedom $\delta_{\Lambda} = D^{++}\Lambda - [V^{++}, \Lambda]$.
- Only a finite number of physical components are left.

SUPERCONFORMAL 6D SYM

$$\begin{aligned}
 S = -\frac{1}{g^2} \int d^6x \operatorname{Tr} \left\{ & (\nabla^M F_{ML})^2 + i\psi^j \gamma^M \nabla_M (\nabla)^2 \psi_j + \frac{1}{2} (\nabla_M \mathcal{D}_{jk})^2 \right. \\
 & + \mathcal{D}_{lk} \mathcal{D}^{kj} \mathcal{D}_j{}^l - 2i\mathcal{D}_{jk} (\psi^j \gamma^M \nabla_M \psi^k - \nabla_M \psi^j \gamma^M \psi^k) + (\psi^j \gamma_M \psi_j)^2 \\
 & \left. + \frac{1}{2} \nabla_M \psi^i \gamma^M \sigma^{NS} [F_{NS}, \psi_j] - 2\nabla^M F_{MN} \psi^j \gamma^N \psi_j \right\}.
 \end{aligned}$$

- **degrees of freedom:** $2 \times 5 - 1 = 9$ for A_M , 3 for \mathcal{D}_{jk} and $4 \times 3 = 12$ for ψ^{ja} (and multiply by $N^2 - 1$).

- at the classical level, enjoys $\mathcal{N} = 1$ 6D superconformal invariance.

- propagators:

$$\langle A_M^A A_N^B \rangle = -\frac{i\eta_{MN}\delta^{AB}}{p^4},$$

$$\langle \psi^{jA} \psi^{kB} \rangle = -\frac{i\epsilon^{jk}\delta^{AB} p_N \tilde{\gamma}^N}{p^4},$$

$$\langle \mathcal{D}_{ik}^A \mathcal{D}_{jl}^B \rangle = -\frac{i\delta^{AB}}{p^2} (\epsilon_{ij}\epsilon_{kl} + \epsilon_{il}\epsilon_{kj}),$$

conformal anomaly

- effective lagrangian

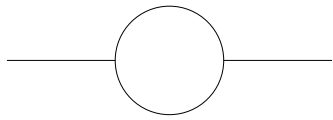
$$\mathcal{L}_{\mathcal{D}}^{\text{eff}} = -\frac{1}{2}\text{Tr} \{(\partial_M \mathcal{D}_{jk})^2\} \left(1 + \frac{g_0^2 c_V}{48\pi^3} \ln \frac{\Lambda}{\mu}\right) - g\text{Tr} \{\mathcal{D}_{lk} \mathcal{D}^{kj} \mathcal{D}_j^l\} \left(1 - \frac{7g_0^2 c_V}{96\pi^3} \ln \frac{\Lambda}{\mu}\right).$$

- effective charge

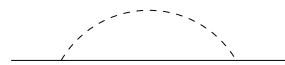
$$g(\mu) = g_0 \left(1 - \frac{5g_0^2 c_V}{48\pi^3} \ln \frac{\Lambda}{\mu}\right)$$

(Landau zero)

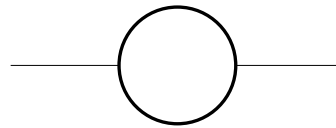
● relevant graphs:



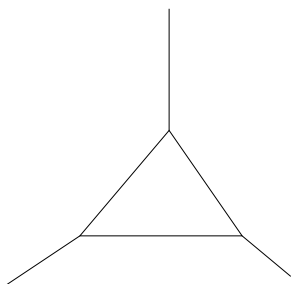
a)



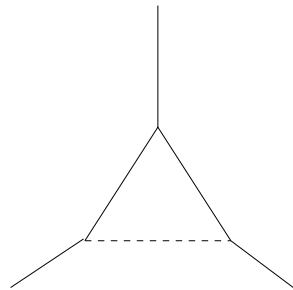
b)



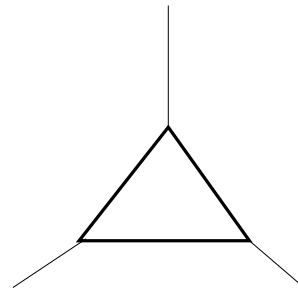
c)



a)



b)



c)

----- dashed

- A_M , solid - \mathcal{D} , thick solid - fermions.

gauge chiral anomaly

$$\nabla_M J_M^a = -\frac{1}{3 \cdot 128\pi^3} \epsilon_{ABCDEF} \text{Tr}\{T^a \hat{F}_{AB} \hat{F}_{CD} \hat{F}_{EF}\} ,$$

$$(T^a)_{bc} = -if^{abc}, \hat{F}_{\alpha\beta} = F_{\alpha\beta}^a T^a .$$

- chiral asymmetry of the fermion action
- technical reason: $\text{Tr}\{T^{(a} T^b T^c T^d)\} \neq 0$.
(cf. $\text{Tr}\{T^{(a} T^b T^c)\} = 0$ for **4D**)

- can be compensated by adding an adjoint hypermultiplet.

A) Conventional hypermultiplet

$$\mathcal{L} \sim \int d\zeta du q^+ \nabla^{++} q^+$$

- involves the fermion of opposite compared to “gluino” chirality, which cancels anomaly.

- unnatural dimensions $[\phi] = 2, [\psi] = 5/2$.

$$L_{\text{free}} \sim (\partial\phi)^2 + \psi\partial\psi.$$

- contributes to conformal anomaly with the **same sign** as pure gauge.

B) Higher derivatives.

- conventional dimensions $[\phi] = 1, [\psi] = 3/2$.
- conformal invariance is lost already at the classical level.
- Infinite number of dynamic fields.

$$\mathcal{L}_{\text{ferm kin}} \sim \int du \text{Tr} \left\{ i \chi_a^k (\tilde{\gamma}^\mu)^{ab} \partial_\mu \square \chi_{kb} - 2 \chi_a^k u^{+i} \frac{\partial}{\partial u^{-i}} \square \lambda_k^a \right\},$$

with

$$\begin{aligned} \chi(u, x) &= \chi(x) + \chi^{(ij)}(x) u_i^+ u_j^- + \chi^{(ijkl)} u_i^+ u_j^+ u_k^- u_l^- + \dots \\ \lambda(u, x) &= \lambda^{(ij)}(x) u_i^- u_j^- + \lambda^{(ijkl)} u_i^- u_j^- u_k^- u_l^+ + \dots \end{aligned}$$

- the same contribution to the anomaly as the conventional hypermultiplet.
- infinite contribution to the beta function ??

Mathematical fact:

- superconformal algebra exists only at $D \leq 6$.
- two variants at $D = 6$:
minimal ($\mathcal{N} = 1$) and extended ($\mathcal{N} = 2$)

OUR BET:

TOE = maximally superconformal theory

- dual to $AdS_7 \otimes S^4$.
- no explicit field theory realization is known